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MIGUEL ROMANO, JR.  
MEMBER

IN THE MATTER OF §  
BRISTOL LAMAR WHITTINGTON § **Order No. ENF-16-CDO-1742**  
DBA WHITCO MEDICAL OVERSEAS S.A. §

TO: Bristol Lamar Whittington dba Whitco Medical Overseas S.A.  
P.O. Box 2355, Missouri City, Texas 77459, and  
4520 Techniplex Drive # 211, Stafford, Texas 77477

**AGREED CEASE AND DESIST ORDER**

Be it remembered that Bristol Lamar Whittington dba Whitco Medical Overseas S.A. ("Respondent") appeared before the Securities Commissioner of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and Conclusions of Law contained herein.

**FINDINGS OF FACT**

1. Respondent has waived (a) Respondent's right to notice and a hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to Respondent by The Securities Act, Tex. Rev. Civ. Stat. Ann. arts. 581-1 to 581-44 (West 2010 & Supp. 2015) (the "Texas Securities Act") and the Administrative Procedure Act, Tex. Gov't Code Ann. §§ 2001.001 to 2001.902 (West 2008 & Supp. 2015) (the "Administrative Procedure Act").
2. Respondent maintains last known addresses at P.O. Box 2355, Missouri City, Texas 77459 and 4520 Techniplex Drive #211, Stafford, Texas 77477.
3. Respondent offered and sold investment contracts to investors in Texas and promissory notes to investors in Canada.
4. Under the terms of the investment contracts offered and sold to Texas investors, investors would transfer money to Respondent. Respondent would use their funds to pay for expenses related to trading a bond on a foreign exchange. Respondent would pay investors a portion of profits derived from trading the bond.

A handwritten signature in blue ink, appearing to be a stylized 'D' or similar character.

5. Under the terms of the promissory notes offered and sold to Canadian investors, investors would loan money to Respondent. Respondent would use their funds to pay for expenses relating to trading a bond on a foreign exchange. Respondent would repay their principal and pay interest to investors.
6. During the offer and sale of the aforementioned securities, Respondent made statements that were materially misleading or otherwise likely to deceive the public when he described the potential profitability of the promissory notes and investment contracts, but failed to disclose that he has never generated a profit for investors.
7. The aforementioned securities were not registered by qualification, notification or coordination and no permit has been granted for their sale in Texas.
8. Respondent has not registered with the Securities Commissioner as a dealer, agent, investment adviser or investment adviser representative at any time material hereto.

### CONCLUSIONS OF LAW

1. The above-described promissory notes and investment contracts are "securities" as that term is defined by Section 4.A of the Texas Securities Act.
2. Respondent violated Section 7 of the Texas Securities Act when he offered and sold securities in Texas at a time when the securities were not registered with the Securities Commissioner.
3. Respondent violated Section 12 of the Texas Securities Act when he offered and sold securities in Texas without being registered pursuant to the provisions of Section 12 of the Texas Securities Act.
4. Respondent made an offer containing statements that are materially misleading or otherwise likely to deceive the public.
5. The foregoing violations constitute bases for the issuance of a Cease and Desist Order pursuant to Section 23 of the Texas Securities Act.

### ORDER

1. It is therefore ORDERED that Respondent immediately CEASE AND DESIST from the offer and sale of any security in Texas until the security is registered with the Securities Commissioner or is offered for sale pursuant to an exemption from registration under the Texas Securities Act.
2. It is further ORDERED that Respondent immediately CEASE AND DESIST from acting as a securities dealer in Texas until Respondent becomes registered with the Securities Commissioner or is acting pursuant to an exemption from registration.

3. It is further ORDERED that Respondent immediately CEASE AND DESIST from making an offer containing statements that are materially misleading or otherwise likely to deceive the public.


SIGNED AND ENTERED by the Securities Commissioner this 1st day of February, 2016.


  
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JOHN MORGAN  
Securities Commissioner

Respondent:

By:   
Bristol Lamar Whittington dba Whitco  
Medical Overseas S.A.

Approved as to Form:

  
\_\_\_\_\_  
Joseph J. Rotunda  
Director  
Enforcement Division

  
\_\_\_\_\_  
Najwa-Monique Sharpe  
Attorney  
Enforcement Division



**ACKNOWLEDGMENT**

On the 26 day of January, 2016, Bristol Lamar Whittington dba Whitco Medical Overseas S.A. ("Respondent"), personally appeared before me, executed the foregoing Order and acknowledged that:

1. Respondent has read the foregoing Order;
2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.

  
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Notary Public in and for  
the State of Texas

[affix notary seal here]

My commission expires on: July 10, 2019

